



KING COUNTY
Signature Report

1200 King County Courthouse
516 Third Avenue
Seattle, WA 98104

March 15, 2011

Motion 13440

Proposed No. 2011-0102.2

Sponsors Lambert

1 A MOTION related to council adoption of the 2011 work
2 program for the county auditor's office.

3 WHEREAS, K.C.C. 2.20.045 states that the council shall review and approve
4 annually by motion a work program prepared by the auditor for the county auditor's
5 office, and

6 WHEREAS, the mission of the county auditor's office is to promote and improve
7 performance, accountability and transparency in county government through objective
8 and independent audits and services, and

9 WHEREAS, the work program shall include the audits and special studies to be
10 conducted and managed by the county auditor's office, and

11 WHEREAS, the work program shall further include the other responsibilities of
12 the auditor's office which are to manage or provide leadership on other oversight work
13 including Capital Projects Oversight, Countywide Community Forums and Countywide
14 Performance Management, and

15 WHEREAS, the 2011 proposed auditor's work program has been developed and
16 is attached to this motion;

17 NOW, THEREFORE, BE IT MOVED by the Council of King County:
18 The attached 2011 county auditor's work program is hereby adopted.
19

Motion 13440 was introduced on 2/22/2011 and passed by the Metropolitan King County Council on 3/14/2011, by the following vote:

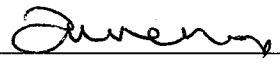
Yes: 8 - Mr. Phillips, Mr. von Reichbauer, Mr. Gossett, Ms. Hague,
Ms. Lambert, Mr. Ferguson, Mr. Dunn and Mr. McDermott
No: 0
Excused: 1 - Ms. Patterson

KING COUNTY COUNCIL
KING COUNTY, WASHINGTON



Larry Gossett, Chair

ATTEST:



Anne Noris, Clerk of the Council

Attachments: A. 2011 King County Auditor's Office Work Program dated 2-17-11

Attachment A (2/17/11)
2011 KING COUNTY AUDITOR'S OFFICE WORK PROGRAM

Project	Tentative Scope Summary
AUDITS/REVIEWS	
Performance Audit of the King County Sheriff's Office*	Review the Sheriff's Office regional and local law enforcement services, workload, and staffing in comparison to the state mandated levels of regional and local law enforcement services and any applicable benchmarks.
Audit of Custodial Services—Facilities Management Division (FMD) (complete early 2011)**	Evaluate whether management's staffing, scheduling, and supervision practices result in using resources efficiently and delivering services consistently and effectively. Customer satisfaction is included.
Capital Planning Performance Audit of Solid Waste Transfer Stations	Examine financial plans, rate models, and cost estimates to build several transfer stations in the county.
Emergency Medical Services (EMS) Levy Financial Audit*	Review the EMS Division 2010 revenues and expenditures to ensure compliance with the EMS Levy financial plan and confirm efforts to implement the recommendations from the previous year's financial review. Evaluate potential opportunities to improve the cost-effectiveness of program operations.
IT Project Management Survey	Conduct a survey of a sample of large IT projects and their performance to scope, schedule, and budget.
Performance Audit of Risk Management Office** ¹	Review the effectiveness of King County Risk Management Division activities to identify and assess potential loss exposure, avoid and reduce potential loss, and protect the assets of King County.
Review of Productivity Initiative-Wastewater Program* (complete early 2011)**	An independent third party, hired and supervised by the county auditor with input from Wastewater Treatment Division (WTD), will determine the effectiveness of the WTD productivity initiative in achieving the efficiency, accountability, and other program objectives and goals identified in Ordinance 14941.

¹Not to be started until late 2011

Project	Tentative Scope Summary
King County Sheriff's Office Internal Investigations Unit (IIU) and Office of Law Enforcement Oversight (OLEO) Performance Audit*	Review development of investigations processes and the initiation of the Office of Law Enforcement Oversight per legislative intent.
Department of Adult and Juvenile Detention (DAJD) 2010 Jail Audit Follow-up and Budget Proviso Implementation*	Work with the Department of Adult and Juvenile Detention and the County Executive on the implementation of 2011 budget provisos relating to jail planning and operations and the 2010 jail performance audit findings and recommendations.
2012 Transit Pre-Audit Survey	Conduct survey work in order to develop scope and objectives for an audit to be conducted in 2012.
FOLLOW-UP PROJECTS	
Follow-up Facilities Management Division (FMD) Capital Planning**	Evaluate how effectively FMD has implemented recommendations for improved practices and policies contained in the 2008 audit report.
Follow-up Emergency Medical Services (EMS) Levy Financial Audit	Evaluate implementation of recommendations from previous audits in 2009 and 2010.
Sheriff's Office Internal Investigations Unit (IIU) Follow-up to 2010 Management Letter**	Update data regarding use-of-force incidents and complaints previously reported by this office.
Follow-up Workers' Compensation (Transit only) **	Follow-up will focus on the Transit Division, with emphasis on the costs and number of claims in recent years.
Follow-up Transit 2009 & 2010 Audits	Monitor implementation of recommendations from 2009 and 2010 audit, with emphasis on the achievement of performance improvements and cost savings.
Follow-up Animal Control 2009 Audits	Monitor implementation of recommendations from 2009 audit reports.
OVERSIGHT PROJECTS	
Countywide Strategic Planning and Performance Management Program*	Continue to facilitate the Countywide Performance Management Work Group. In 2011, the advisory Work Group focus will include efforts to implement the first countywide strategic plan and the development of performance measures and agency strategic plans. The Work Group will also propose refinements to county code on the subject of performance management.

Project	Tentative Scope Summary
Countywide Community Forums Program*	Continue oversight of this mandated citizen engagement program which provides a network of community forums, through which citizens can participate in small group discussions to provide input to county officials on a variety of current issues. Two to three forums will be held in 2011.
Coordination of State Auditor's Office's (SAO) Local Government Performance Audits	Monitor any local government performance audits that involve or affect King County.
Review of State Auditor's Office (SAO) Accountability Report & Financial Audits	Review completed SAO financial audits and accountability reviews and report to the Council on findings and recommendations that are of potential interest or impact.
CAPITAL PROJECTS OVERSIGHT	
Programmatic Oversight	<p>Continue to implement the model developed in 2007 for the capital projects oversight program by:</p> <ul style="list-style-type: none"> • Working with the legislative and executive branches to improve policies and project management practices and countywide systems for capital project delivery including better cost estimating, schedule development, and risk assessment. • Conducting an evaluation of the results of implementation of the CPO model to date. • Conducting direct project oversight to promote successful project delivery and to provide the council with timely information on project scope, schedule, budget, and risks on the following selected projects.
South Regional Maintenance Facility (Roads Services Division)**	Oversee the preliminary design phase of this estimated \$25 million project to construct a new consolidated road maintenance facility on county-owned property near Ravensdale.
Facilities Management Division (FMD) Project Delivery Management*	A study of how FMD manages project performance and delivery.
Accountable Business Transformation (ABT) Oversight*	Continue to conduct ongoing oversight of this \$87 million project as changed business practices and new financial, human resource, payroll, and budget systems are put into operation.

Brightwater Treatment and Conveyance System*	Continue to conduct ongoing oversight of this \$1.8 billion project to construct a new wastewater treatment plant and conveyance system which is scheduled for completion in 2012.
Data Center Relocation*	Publish closeout report on this project which moved county network server and other equipment to Tukwila. The project was substantially complete in 2010.
Criteria for Capital Project Prioritization**	Identify criteria that could be used to prioritize major capital projects. The criteria could further enable the ranking of projects considered for funding in the annual budget process, while also recognizing the differences and unique characteristics of each project and differences in funding sources.

* = Mandated ** = Carryover